entor

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Michael Seltzer et al.

Appln. No.: 10/647,586

Filed : August 25, 2003

For : METHOD AND APPARATUS USING

HARMONIC-MODEL-BASED FRONT

END FOR ROBUST SPEECH

RECOGNITION

Docket No.: M61.12-0550 Group Art Unit: 2641

Examiner:

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

Submitted herewith is a copy (with English translation as appropriate) of an Official Search Report of the European Patent Office in counterpart foreign application No. 04103533.8 filed July 23, 2004.

#### TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on merits, whichever event occurs last. accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

2. \_\_\_ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. \_\_\_ a statement as specified in 37 C.F.R. § 1.97(e).
- B. \_\_\_ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
- after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
  - A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

## STATEMENT

(only used if No. 2(A) or No. 3 above is checked) The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than

three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

# METHOD OF PAYMENT

X	No fee is	s re	equ	uired.					
	Attached	is	а	check	in	the	amount	of	\$ 

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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TMM:sew

FORM PTO-1449								A	ttv.	Docket	Appl. No.:			
1044 110-1317								Atty. Docket No.: M61.12-0550				10/647,586		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT								First Named Inventor:						
								Michael Seltzer et al.						
								F	iling	Date	Group Art:			
								A	ugust	25, 20	2641			
U.S. PATENT DOCUMENTS														
Exami Init			Dat	Date		Na	Name			lass	Sub Class	Filing Date If Appropriate		
	AA													
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		Document No.		Date			Country			Class	Sub Class	Translation Yes No		
	AG													
	АН													
	AI													
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	ΑJ	First Official Communication for corresponding European Application EP 4103533.8, filed July 23, 2004.												
	AK													
	AK													
	AM								-					
	AN													
EXAMINER:							DATE CO	TE CONSIDERED:						

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.